

AGENDA COMPLIANCE AND AUDIT COMMITTEE MEETING OF THE EL CAMINO HOSPITAL BOARD

Wednesday, November 30, 2022 – 5:00 pm
El Camino Hospital, 2500 Grant Road, Mountain View, CA 94040

PURSUANT TO GOVERNMENT CODE SECTION 54953(e)(1), EI CAMINO HEALTH **WILL NOT BE PROVIDING A PHYSICAL LOCATION TO THE PUBLIC FOR THIS MEETING.** INSTEAD, THE PUBLIC IS INVITED TO JOIN THE OPEN SESSION MEETING VIA TELECONFERENCE AT:

1-669-900-9128, MEETING CODE: 923 7043 6553#. No participant code. Just press #.

PURPOSE: To advise and assist the El Camino Hospital (ECH) Hospital Board of Directors (“Board”) in its exercise of oversight of Corporate Compliance, Privacy, Internal and External Audit, Enterprise Risk Management, and Information Technology (IT) Security. The Committee will accomplish this by monitoring the compliance policies, controls, and processes of the organization and the engagement, independence, and performance of the internal auditor and external auditor. The Committee assists the Board in oversight of any regulatory audit and in assuring the organizational integrity of ECH in a manner consistent with its mission and purpose.

AGENDA ITEM	PRESENTED BY	ESTIMATED TIMES
1. CALL TO ORDER/ROLL CALL	Jack Po MD, Chair	5:00 – 5:01pm
2. POTENTIAL CONFLICT OF INTEREST DISCLOSURES	Jack Po MD, Chair	5:01 – 5:02
3. PUBLIC COMMUNICATION a. Oral Comments <i>This opportunity is provided for persons in the audience to make a brief statement, not to exceed three (3) minutes on issues or concerns not covered by the agenda.</i> b. Written Correspondence	Jack Po MD, Chair	information 5:02 – 5:05
4. ADJOURN TO CLOSED SESSION	Jack Po MD, Chair	motion required 5:05– 5:06
5. POTENTIAL CONFLICT OF INTEREST DISCLOSURES	Jack Po MD, Chair	5:06 – 5:07
6. CONSENT CALENDAR <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i> Approval <i>Gov’t Code Section 54957.2:</i> a. Minutes of the Closed Session of the CAC Meeting (9/28/22) Information <i>Gov’t Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> b. KPI Scorecard and Trends c. Activity Log Sept. – Oct. 2022 d. Internal Audit Work Plan e. Internal Audit Follow Up Table f. Committee Pacing Plan	Jack Po MD, Chair	motion required 5:07 – 5:20
7. Gov’t Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: - Review Enterprise Compliance Program Risk Assessment	Michelle Horton, PWC Partner; Kay Kay Chan, PWC Director; Mary Rotunno, General Counsel	discussion 5:20 – 5:45

A copy of the agenda for the Regular Committee Meeting will be posted and distributed at least seventy-two (72) hours prior to the meeting. In observance of the Americans with Disabilities Act, please notify us at **(650) 988-7632** prior to the meeting so that we may provide the agenda in alternative formats or make disability-related modifications and accommodations.

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
8. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: - Review IT Cybersecurity Program	Joe Voje, CISO; Deb Muro, CIO; Mary Rotunno, General Counsel		information 5:45 – 6:10
9. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: - Review Affiliate Covered Entity (ACE) Designation Resolution and Policy	Diane Wigglesworth, Sr. Director Corporate Compliance; Mary Rotunno, General Counsel		discussion 6:10 – 6:15
10. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: - Review Proposed Modification to the Physician Financial Arrangement Policy	Diane Wigglesworth, Sr. Director Corporate Compliance; Mary Rotunno, General Counsel		discussion 6:15 – 6:25
11. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: - Enterprise Risk Management Update	Dr. Meenesh Bhimani, COO Diane Wigglesworth, Sr. Director Corporate Compliance; Mary Rotunno, General Counsel		information 6:25 – 6:35
12. Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation: - Report Internal Audit Activity	Diane Wigglesworth, Sr. Director Corporate Compliance; Mary Rotunno, General Counsel		information 6:35 – 6:45
13. Gov't Code Sections 54957 for report and discussion on personnel matters – Senior Management: - Executive Session	Jack Po MD, Chair		discussion 6:45 – 6:55
14. ADJOURN TO OPEN SESSION	Jack Po MD, Chair		motion required 6:55 – 6:56
15. RECONVENE OPEN SESSION/ REPORT OUT To report any required disclosures regarding permissible actions taken during Closed Session.	Jack Po MD, Chair		information 6:56 – 6:57
16. CONSENT CALENDAR <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i> Approval a. Minutes of the Open Session of the CAC Meeting (9/28/2022) b. Affiliate Covered Entity (ACE) Designation and Policy c. Modification to Physician Financial Arrangement Review and Approval Policy Information d. Report of Board Actions e. Status of FY23 Committee Goals	Jack Po MD, Chair	<i>public comment</i>	motion required 6:57 – 7:00
17. ADJOURNMENT	Jack Po MD, Chair		motion required 7:00pm

Upcoming Meetings: February 22, 2023, April 26, 2023, June 28, 2023