

**AGENDA
COMPLIANCE AND AUDIT COMMITTEE MEETING
OF THE EL CAMINO HOSPITAL BOARD**

Thursday, January 27, 2022 – 5:00 pm
El Camino Hospital | 2500 Grant Road, Mountain View, CA 94040

PURSUANT TO GOVERNMENT CODE SECTION 54953(e)(1), EI CAMINO HEALTH **WILL NOT BE PROVIDING A PHYSICAL LOCATION TO THE PUBLIC FOR THIS MEETING**. INSTEAD, THE PUBLIC IS INVITED TO JOIN THE OPEN SESSION MEETING VIA TELECONFERENCE AT:

Dial-In: 1-669-900-9128, MEETING CODE: 935 1573 0966#. No participant code. Just press #.

PURPOSE: To advise and assist the El Camino Hospital (ECH) Hospital Board of Directors (“Board”) in its exercise of oversight of Corporate Compliance, Privacy, Internal and External Audit, Enterprise Risk Management, and Information Technology (IT) Security. The Committee will accomplish this by monitoring the compliance policies, controls, and processes of the organization and the engagement, independence, and performance of the internal auditor and external auditor. The Committee assists the Board in oversight of any regulatory audit and in assuring the organizational integrity of ECH in a manner consistent with its mission and purpose.

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
1. CALL TO ORDER/ROLL CALL	Jack Po MD, Chair		5:00 – 5:01pm
2. POTENTIAL CONFLICT OF INTEREST DISCLOSURES	Jack Po MD, Chair		5:01 – 5:02
3. PUBLIC COMMUNICATION a. Oral Comments <i>This opportunity is provided for persons in the audience to make a brief statement, not to exceed three (3) minutes on issues or concerns not covered by the agenda.</i> b. Written Correspondence	Jack Po MD, Chair		information 5:02 – 5:05
4. CONSENT CALENDAR <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i> Approval a. Minutes of the Open Session of the CAC Meeting (11/18/2021) Information b. Status of FY22 Committee Goals c. No Surprises Act Information	Jack Po MD, Chair	<i>public comment</i>	motion required 5:05 – 5:10
5. APPOINTMENT OF AD HOC COMMITTEE FOR RECRUITMENT OF NEW MEMBER	Jack Po MD, Chair Shiraz Ali, Director office of CEO	<i>public comment</i>	possible motion 5:10 – 5:15
6. ADJOURN TO CLOSED SESSION	Jack Po MD, Chair		motion required 5:15– 5:16
7. POTENTIAL CONFLICT OF INTEREST DISCLOSURES	Jack Po MD, Chair		5:16 – 5:17
8. CONSENT CALENDAR <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i> Approval <i>Gov’t Code Section 54957.2:</i> a. Minutes of the Closed Session of the CAC Meeting (11/18/2021)	Jack Po MD, Chair		motion required 5:17 – 5:40

A copy of the agenda for the Regular Committee Meeting will be posted and distributed at least seventy-two (72) hours prior to the meeting. In observance of the Americans with Disabilities Act, please notify us at (650) 988-7361 prior to the meeting so that we may provide the agenda in alternative formats or make disability-related modifications and accommodations.

AGENDA ITEM	PRESENTED BY		ESTIMATED TIMES
<p>Information <i>Gov't Code Section 54956.9(d)(2)</i> – conference with legal counsel – pending or threatened litigation: b. KPI Scorecard and Trends c. Activity Log November 2021 d. Activity Log December 2021 e. Internal Audit Work Plan f. Internal Audit Follow Up Table g. Committee Pacing Plan</p>			
<p>9. <i>Gov't Code Section 54956.9(d)(2)</i> – conference with legal counsel – pending or threatened litigation: - Report Internal Audit Activity</p>	Julia Russell, Protiviti Alex Robison, Protiviti Mary Rotunno, General Counsel		<p>information 5:40 – 5:45</p>
<p>10. <i>Gov't Code Section 54956.9(d)(2)</i> – conference with legal counsel – pending or threatened litigation: - Evaluation of Compliance Program Education</p>	Laura Keidan Martin, Partner, Katten LLP Mary Rotunno, General Counsel		<p>discussion 5:45 – 6:15</p>
<p>11. <i>Gov't Code Section 54956.9(d)(2)</i> – conference with legal counsel – pending or threatened litigation: - IT Security Discussion</p>	Joe Voje, CISO Mary Rotunno, General Counsel		<p>discussion 6:15 – 6:35</p>
<p>12. <i>Gov't Code Section 54956.9(d)(2)</i> – conference with legal counsel – pending or threatened litigation: - Enterprise Risk Management</p>	Omar Chughtai, VP of Operations; Diane Wigglesworth, Sr. Director Corporate Compliance; Mary Rotunno, General Counsel		<p>discussion 6:35 – 6:45</p>
<p>13. <i>Gov't Code Section 54956.9(d)(2)</i> – conference with legal counsel – pending or threatened litigation: - Summary Physician Financial Arrangements</p>	Mark Adams, MD and CMO Diane Wigglesworth, Sr. Director Corporate Compliance; Mary Rotunno, General Counsel		<p>motion required 6:45 – 6:55</p>
<p>14. <i>Gov't Code Sections 54957</i> for report and discussion on personnel matters – Senior Management: - Executive Session</p>	Jack Po MD, Chair		<p>discussion 6:55 – 6:59</p>
<p>15. ADJOURN TO OPEN SESSION</p>	Jack Po MD, Chair		<p>motion required 6:59 – 6:59</p>
<p>16. RECONVENE OPEN SESSION/ REPORT OUT</p> <p>To report any required disclosures regarding permissible actions taken during Closed Session.</p>	Jack Po MD, Chair		<p>information 6:59 – 7:00</p>
<p>17. ADJOURNMENT</p>	Jack Po MD, Chair		<p>motion required 7:00pm</p>

Upcoming Meetings: March 24, 2022, April 27, 2022 (Joint Board and Committee Education), May 19, 2022