

**AGENDA**  
**COMPLIANCE AND AUDIT COMMITTEE OF THE**  
**EL CAMINO HOSPITAL BOARD OF DIRECTORS**  
**Wednesday, November 29, 2023– 5:00 pm**

El Camino Health | 2500 Grant Road Mountain View, CA 94040, Sobrato Boardroom 2

THE PUBLIC IS INVITED TO JOIN THE OPEN SESSION PORTION OF THE MEETING LIVE AT THE ADDRESS ABOVE OR VIA TELECONFERENCE AT:

**1-669-900-9128**, MEETING CODE: **974 5553 0941#**. No participant code. Just press #.

Sharon Anolik Shakked will be participating via teleconference from 330 East Strawberry Drive, Mill Valley, CA 94941

Christine Sublett will be participating via teleconference from 2389 Ticonderoga Drive San Mateo, CA 94402

**PURPOSE:** To advise and assist the El Camino Hospital (ECH) Hospital Board of Directors (“Board”) in its exercise of oversight of Corporate Compliance, Privacy, Internal and External Audit, Enterprise Risk Management, and Cybersecurity. The Committee will accomplish this by monitoring the compliance policies, controls, and processes of the organization and the engagement, independence, and performance of the internal auditor and external auditors. The Committee assists the Board in oversight of any regulatory audit and in assuring the organizational integrity of ECH in a manner consistent with its mission and purpose.

	<b>AGENDA ITEM</b>	<b>PRESENTED BY</b>	<b>ACTION</b>	<b>ESTIMATED TIMES</b>
1	<b>CALL TO ORDER/ROLL CALL</b>	Jack Po MD, Chair	Information	<b>5:00 – 5:01 pm</b>
2	<b>CONSIDER AB 2449 REQUESTS</b>	Jack Po MD, Chair	<b>Possible Motion</b>	<b>5:01 – 5:02</b>
3	<b>POTENTIAL CONFLICT OF INTEREST DISCLOSURES</b>	Jack Po MD, Chair	Information	<b>5:02 – 5:03</b>
4	<b>PUBLIC COMMUNICATION</b> a. Oral Comments <i>This opportunity is provided for persons in the audience to make a brief statement, not to exceed three (3) minutes, on issues or concerns not covered by the agenda.</i> b. Written Correspondence <i>Comments may be submitted by mail to the El Camino Hospital Board Compliance and Audit Committee at 2500 Grant Road, Mountain View, CA 94040. Written comments will be distributed to the committee as quickly as possible. Please note it may take up to 35 hours for documents to be posted on the agenda.</i>	Jack Po MD, Chair	Information	<b>5:03 – 5:08</b>
5	<b>CONSENT CALENDAR ITEMS:</b> <i>Any Committee Member or member of the public may remove an item for discussion before a motion is made.</i> a. Approve Minutes of the Open Session of the CAC meetings (09/27/2023) b. Approve Minutes of the Closed Session of the CAC meetings (09/27/2023) c. Approve Physician Wellness Program Policy d. Receive Status of FY 24 Committee Goals	Jack Po MD, Chair	<b>Motion Required</b>	<b>5:08 – 5:14</b>

	<b>AGENDA ITEM</b>	<b>PRESENTED BY</b>	<b>ACTION</b>	<b>ESTIMATED TIMES</b>
<b>6</b>	<b>ADJOURN TO CLOSED SESSION</b>	Jack Po MD, Chair	<b>Motion Required</b>	<b>5:14 – 5:15</b>
<b>7</b>	<b>POTENTIAL CONFLICT OF INTEREST DISCLOSURES</b>	Jack Po MD, Chair	Information	<b>5:15 – 5:16</b>
<b>8</b>	<i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> <ul style="list-style-type: none"> <li>- Receive Compliance Program Reports <ul style="list-style-type: none"> <li>a. KPI Scorecard and Trends</li> <li>b. Activity Logs Sept. - October 2023</li> <li>c. Internal Audit Work Plan FY 2024</li> <li>d. Internal Audit Follow Up Table</li> </ul> </li> </ul>	Diane Wigglesworth, Compliance/Privacy Officer; Theresa Fuentes, Chief Legal Officer	Discussion	<b>5:16 – 5:25</b>
<b>9</b>	<i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> <ul style="list-style-type: none"> <li>- Review Enterprise Vision 2027 Strategies and Goals</li> </ul>	Dan Woods, CEO; Andreu Reall, VP of Strategy Theresa Fuentes, Chief Legal Officer	Discussion	<b>5:25 – 5:50</b>
<b>10</b>	<i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> <ul style="list-style-type: none"> <li>- Review Enterprise Risk Management (ERM) Metrics and Board Feedback</li> </ul>	Dan Woods, CEO; Omar Chugtai, Chief Growth Officer; Andreu Reall, VP of Strategy Theresa Fuentes, Chief Legal Officer	Discussion	<b>5:50 – 6:10</b>
<b>11</b>	<i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> <ul style="list-style-type: none"> <li>- Receive Cybersecurity Program Report</li> </ul>	Josh Spencer, Interim CISO; Theresa Fuentes, Chief Legal Officer	Discussion	<b>6:10 – 6:35</b>
<b>12</b>	<i>Gov't Code Section 54956.9(d)(2) – conference with legal counsel – pending or threatened litigation:</i> <ul style="list-style-type: none"> <li>- Receive Internal Audit Report</li> </ul>	Diane Wigglesworth, Compliance/Privacy Officer; Theresa Fuentes, Chief Legal Officer	Discussion	<b>6:35 – 6:45</b>
<b>13</b>	Gov't Code Sections 54957 Report regarding personnel performance – Chief Executive Officer -Executive Session	Jack Po MD, Chair	Discussion	<b>6:45 – 6:55</b>
<b>15</b>	<b>RECONVENE OPEN SESSION/ CLOSED SESSION REPORT OUT</b>	Jack Po MD, Chair	<b>Motion Required</b>	<b>6:56 – 6:59</b>
	To report any required disclosures regarding permissible actions taken during Closed Session.			
<b>16</b>	<b>ADJOURNMENT</b>	Jack Po MD, Chair	<b>Motion Required</b>	<b>7:00pm</b>

**Upcoming Meetings:** February 28, 2024, May 15, 2024, June 26, 2024